



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATIONCOMPLETION Under
Rule 53(f)

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): DEDEREN et al.

Appln. No.: 09

865,296 /

Atty. Dkt.

P

281137

UQI 50703/USw

Series Code ↑

Serial No. ↑

M#

Client Ref

Filed: May 29, 2001

Title: EMULSIFICATION SYSTEMS AND EMULSIONS

Hon. Commissioner of Patents
Washington, DC 20231

Date: November 27, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. # pages of Specification (only spec. & claims)
- c. ☐ Drawing(s) ☐ Fig(s).
- No of Sheets 1 11/28/2001 HXUOHG1 00000035 09865296
02 FC:117 920.00 OP
4. ☐ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/111,440	December 8, 1998	(2) 09/452,144	December 1, 1999
(3) PCT/GB99/03969	November 29, 1999	(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Great Britain

Application No.	Filing Date	Application No.	Filing Date
(1) 9826699.2	December 15, 1998	(2)	
(3)		(4)	
(5)		(6)	

9. _____ (No.) Certified copy (copies): ☐ attached; ☒ previously filed (date) December 1, 1999
in U.S. Application No. 09/452,144 filed on December 1, 1999
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

		Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application		\$320/\$160		106/26
Not Design Application		\$710/\$355	+0	101/201
14. Total Effective Claims	minus 20 =	x \$18/\$9	+0	103/203
15. Independent Claims	minus 3 =	x \$80/\$40	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)		\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late		\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =		\$		
19. Original due date: August 27, 2001				
20. Petition is hereby made to extend the original due date to (1 mo)		\$110/\$55 =	+920	115/215
cover the date this response is filed for which the requisite fee (2mos)		\$400/\$200 =		116/216
is attached (3mos)		\$920/\$460 =		117/217
(4mos)		\$1440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee		\$40	+0	581
23. Petition Fee for		\$130	+0	
24. TOTAL FEE ENCLOSED =		\$1050		

Our Deposit Account No. 03-3975

Our Order No. 90128

281137

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	NOV 27 2001	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,296		05/29/2001	Christian Joseph Dedern	P 281137 UQI 50703/US/3

CONFIRMATION NO. 6264

FORMALITIES LETTER



OC000000006235148

Pillsbury Winthrop LLP
Intellectual Property Group
East Tower, Ninth Floor
1100 New York Avenue, N.W.
Washington, DC 20005-3918

Date Mailed: 06/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2001 HVUONG1 00000035 09865296

01 FC:105

130.00 OP